

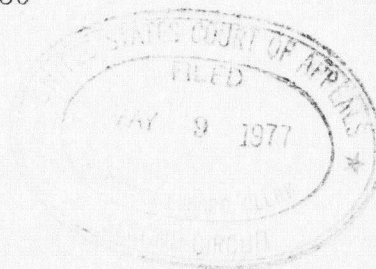
***United States Court of Appeals
for the Second Circuit***



**APPELLANT'S
APPENDIX**

77-7080

IN THE
UNITED STATES COURT OF APPEAL
SECOND CIRCUIT, NEW YORK
DOCKET NO. 77-7080



SIMON O. AYAH,
PLAINTIFF - APPELLANT
V.
NEW YORK UNIVERSITY,
DEFENDANT - APPELLEE

B
8/5

APPENDIX FOR PLAINTIFF - APPELLANT

SIMON O. AYAH
P.O. BOX 1638
BROOKLYN, N.Y. 11202
(212) 789-7490

PAGINATION AS IN ORIGINAL COPY

C O N T E N T S

Docket Sheet of Action

Docket Entries of Proceeding, Southern District

Order for Extension of Time for Defendant

Order to Show Cause

Affidavit of Plaintiff in Support of Order to show cause

Notice of Motion to Dismiss of Defendant

Statement Pursuant to Rule 9(g) of Plaintiff

Affidavit of Plaintiff in Opposition to Motion to Dismiss

Endorsement Order of Honorable Judge Carter

Motion to reconsider of Plaintiff

Affidavit in Support of Motion to reconsider

Record of Proceeding, Eastern District

Admission Document, for Ph. D. program in N.Y.U.

Permit to Register for courses in Ph. D. program

Transcript of Courses for First Semester of Attendance in N.Y.U.
(Fall, 1973-74)

Excerpt from Affidavit of Randall

Excerpt from Affidavit of William C. Porth

Order and Memo of Honorable Judge Costantino

Letter of Randall, Chairman, Politics Dept. N.Y.U., of July 16, 1976

Letter of Plaintiff to Chairman, Politics Dept. N.Y.U., of August 1st, 1976

Memo of Prof. Shain, Director of Graduate Studies, Politics Department, N.Y.U.
(Sept. 8, 1976)

Memo of Director of Admissions, Graduate School of Arts & Science, N.Y.U.
of Sept. 12, 1976

New York University Regulations (GSAS, 1976-78)

PAUPERIS

JS-6

DC-111 (Rev. 3-22-77)

UNITED STATES DISTRICT COURT DOCKET

NUMBER	MO.	DAY	YEAR	23	S	OTHER	NUMBER	DEM.	YR.	NUMBER
208-1	76	5225	11 23 76	3	440	1	0844		76	5225

PLAINTIFFS

DEFENDANTS ~~J.~~

AYAH, SIMON O.

NEW YORK UNIVERSITY

MC

2/14

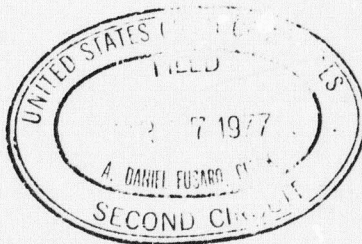
CAUSE

Sec.1331, Title 28 USC; Sec.1332 Title 28 USC.1st & 14th Amendments. Pltff alleges that deft discriminated with respect to intpretation and application of published regulations of NY University.

ATTORNEYS

SIMON O. AYAH (Pro Se)
General Post Office Box 1638
Bklyn, NY 11202

Wm. C. Porth, Esq.
NY University
70 Washington Sq. S.
NYC 10012



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DATE

NOV 28 1976

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STATISTICAL CARDS

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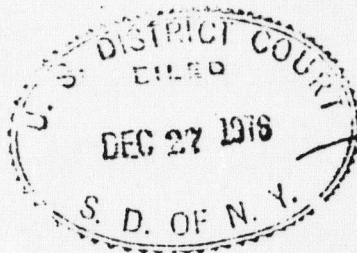
DATE MAILED

JS-5

1-26-77

DATE	NR.	PROCEEDINGS
11-23-76	1	Filed complaint and issued summons.
12-07-76	2	Filed plttf's brief re: support of the complaint.
12-07-76	3	Filed order that George Lee is appointed to serve the summons and complaint upon the named defts. Clerk.
12-08-76	4	Filed summons & Marshal return of service upon: N.Y.U. c/o William North unexecuted at hte request of plttf.
12-25-76	5	Filed order that deft time to answer the complaint is extended to 1/12/77. Tenney J. copy to plttf pro se. m/n
12-30-76	6	Fld ORDER TO SHOW CAUSE FOR A PRELIMINARY INJUNCTION ret 1/7/77 at 10:00 in rm 506 Griesa J
1-7-77	7	Fld defts affdvt re: opposition to application for a preliminary injunction.
01-14-77	8	Fld Consent Order deft's time to answer the complaint is ext to 1/21/77 & Tenney J. m/n
01-21-77	9	Fld notice of motion by deft re: an order pur Rule 12[b] & 56[b] dismissing the complaint for lack of jurisdiction. ret . 2/8/77
01-21-77	10	Fld deft's memo of law re: support of the motion to dismiss.
1-26-77	11	Fld Endorsement plttf's motion is denied in all respects & the complaint is dismissed. Carter J. m/n copy to plttf.
01-27-77		Fld memo -end on entry # 9 re: the motion is denied as moot. etc. Tenney J. m/n copy to plttf pro se
02-7-77	12	Fld plttf's statement pursuant to Rule 9[g]
02-7-77	13	Fld plttf's motion to reconsider the Court's order of 1/25/77.
02-7-77	14	Fld plttf's memo re: opposition to the motion to dismiss.
2-14-77	15	Fld Plttf's Notice of Appeal to USCA from Order ent 1-25-77. Copy mailed on 2-14-77 to: Am. Pol. Esq.

U.S. DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION



UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----x
SIMON O. AYAH, *Pro Se* :
 : 76 Civ. 5225
Plaintiff, :
 : Judge Tenney
- against - :
 : ORDER ON EX PARTE
NEW YORK UNIVERSITY, : APPLICATION FOR
 : ENLARGING TIME
Defendant. :
-----x

The defendant's ex parte application for extension of time within which he may answer or otherwise plead to plaintiff's complaint in the above-entitled cause being submitted for the consideration of the Court, and the Court being fully advised in the premises, now grants said application.

It is therefore, Ordered by the Court that the time within which the defendants may answer or otherwise plead to plaintiff's complaint be and the same is hereby extended to and including January 12, 1977.

Dated December 22, 1976.
New York, N. Y.

Charles W. Tenney
District Judge

MICROFILM

REC-27-6

SIMON O. AYAN

Plaintiff

V.

NEW YORK UNIVERSITY

Defendant

76 Civ. 5225 CH7

ORDER TO SHOW CAUSE

ORDER TO SHOW CAUSE
for Preliminary Injunction

State of New York)
County of New York) ss.:

On the application of Simon O. Ayah, plaintiff in the above titled proceedings, for an order requiring Robert R. Raymo, respondent, to show cause before this court why applicant should not be entitled to receive complete and correct transcripts of his records at New York University and why said applicant should not be entitled to terminate his program at New York University at M.A. degree, and good cause appearing for issuing this order ex parte and without notice; therefore,

order ex parte and without notice; therefore,

IT IS ORDERED that respondent, Robert R. Raymo, appear before this court in Court Chamber No. 144, of the United States Court House, situated at Foley Square in the City of New York, State of New York, on the 7th day of January, 1977, at 10 o'clock in the forenoon of that day or as soon thereafter as counsel can be heard; then and there to show cause why an order should not be granted, *Robert R. Raymo vs FRCP, for a Preliminary Injunction* and why the applicant should not have such other and further relief as may be just, proper and equitable.

That copies of this order, of the application

IT IS FURTHER ORDERED that copies of this order, of the application of Simon G. Ayth herein referred to, and of the affidavit filed therewith, be served on Robert E. Pagno, the respondent, on or before the 29th day of December 1970, and that such service shall constitute notice hereof.

Date: December 20, 1966

Thomas P. Gru
U.S.D.J.

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X
SIMON O. AYAH

Plaintiff

v.

NEW YORK UNIVERSITY

Defendant
-----X

76 Civ. 5225

AFFIDAVIT IN SUPPORT
OF ORDER TO SHOW CAUSE

State of New York)

: ss:

County of New York)

SIMON O. AYAH being duly sworn, deposes and says:

1. I am the plaintiff in the above mentioned action and I am familiar with all the facts and circumstances herein.

2. I am a student at New York University. I was admitted as a degree student for the Ph.D degree in New York University in 1973 and had registered as a degree student in the Ph. D program in the said institution in the department of Politics, since the Fall of 1973.

3. I have satisfactorily completed eight Graduate courses with the Politics Department of the defendant institution. Under normal circumstances, I would be required to complete two additional Graduate courses in order to satisfy all course work for the Ph. D degree in Politics at New York University.

4. In the Spring 1974, I registered for and completed a course in International Politics with a grade of B. My official New York University transcript carries no grade for the course. The course in question (International Politics) is the core course of my Ph.D Program at New York University.

5. I had discussed this problem with Mr. Schain, the present director of Graduate studies in the Politics Department. I also discussed the problem with Dean Baymo and asked him to look into this matter. I was again told that this is a matter to be resolved between Mr. James Hsiung the instructor for the course, and myself. Whereas the New York University regulation requires

department to the Recording Office. There is also no entry and grade in my records for the course in U.S. Foreign Policy which I completed in the Fall of 1973.

6. On August 1, 1976, I applied to the chairman of the Politics Department at New York University to terminate my Ph.D program at M.A. degree by Fall 1976. To which the Politics Department on September 8, 1976 in an undated memorandum indicated that: "A consideration by this department of that request is subject to approval by the Admissions Office".

7. On September 13, 1976 the Director of Admissions, Graduate School of Arts and Science, in a memorandum of that date, informed me that the interpretation of the New York University regulation as contained in the same Politics Department memorandum is not correct. The memorandum of the Director of Admissions captioned "Mr. Ayah's Change of Status Request" reads: "Mr. Ayah has shown me a memo from Professor Schain indicating that his change of status would be contingent upon the decision of the Admissions Office. This is not the caseThis decision is appropriately made by the chairman of the department and communicated to the Recording Office and the student involved.

8. On the receipt of the memorandum from the Director of Admissions I immediately contacted the Politics Department to discuss the new developments. The Politics Department claimed they had been instructed that I should first meet with the Legal Department of New York University to settle the legal issues as a basis for the Politics Department's consideration to terminate my Ph.D program at M.A. degree.

9. Subsequently, I met with an official of the New York University Legal Department and was instructed by this official to withdraw my appeal then pending in the Court of Appeal, Second Circuit, as a basis for further consideration of my request with the Politics Department. I informed both the Legal Department and the Politics Department of my intention to withdraw the appeal before the Court of Appeal and was told that when the withdrawal is made, I should notify the said Legal department. I had since found that the defendant had withdrawn my appeal and was pending my time

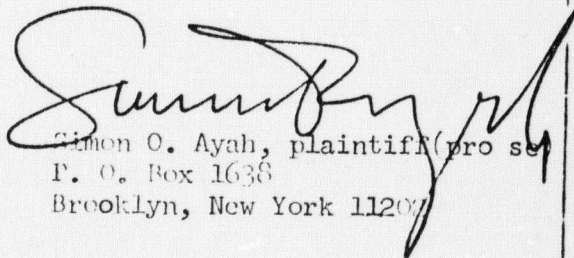
10. I am not on New York University scholarship; I have never received any financial assistance or loan from New York University; and I do not owe New York University any money.

11. The only reason the defendant institution entered non-degree on my official transcript as well as refusing to include in the said transcript all courses, proper grades including the grade of "B" I received in International Politics (International Politics being the core course of my program) is to cause me injury and to make it impossible for me to continue my program in another institution.

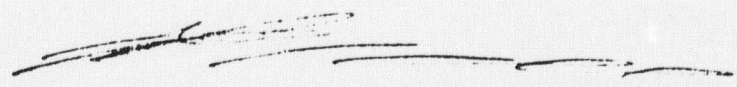
12. I need a complete and correct official transcript from New York University by January 10, 1977 in order to complete the admission requirements in another institution where I should resume full Graduate program for the Ph. D degree by January 1977. *I make this application pursuant to 65FRCP*

13. No previous application for the relief prayed for herein has been made.

WHEREFORE, your applicant respectfully asks for an order directing the respondent, Robert R. Raymo, Dean of the Graduate School of Arts and Science, New York University, to show cause why an order should not be granted, pursuant to FRCP 7(b), and including granting such other and further relief as may be just, proper and equitable.


Simon O. Ayah, plaintiff (pro se)
P. O. Box 1638
Brooklyn, New York 11207

Sworn before me this
28th day of December, 1976


GARFIELD BORO
COMMISSIONER OF DEEDS
City of New York No. 2-1171
Certificate Filed in Kings County
Commission Expires Oct. 1, 1977

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

- - - - -x

SIMON O. AYAH,	:	76 Civ. 5225
Plaintiff,	:	
-against-	:	Judge Tenney
	:	Notice of Motion to
	:	Dismiss Pursuant to F.R.
NEW YORK UNIVERSITY,	:	Civ. Proc. 12(b) and
	:	56(b)
Defendant	:	

- - - - -x

S I R:

PLEASE TAKE NOTICE that upon the affidavits in opposition to plaintiff's application for a preliminary injunction of ROBERT R. RAYMO, JAMES C. HSIUNG and KATHARINE B. DESAI, sworn to January 6, 1977, the annexed supplementary affidavit of ROBERT R. RAYMO, sworn to January 20, 1977, and the annexed affidavit of WILLIAM C. PORTH, sworn to January 19, 1977, the exhibits annexed thereto, and all prior proceedings heretofore had herein, the undersigned will move this Court before the Honorable Charles H. Tenney, U.S.D.J. in Room 1904, U.S. Courthouse, Foley Square, New York, New York 10007 on February 8, 1977 at 10:30 o'clock in the forenoon of that day, or as soon thereafter as counsel can be heard, for an order pursuant to F.R. Civ. P. 12(b) and 56(b), dismissing the complaint herein on the grounds (a) that this Court lacks jurisdiction over the subject matter of the action, (b) that the complaint fails to

state a claim upon which relief can be granted, and (c) that the defendant is entitled to judgment as a matter of law, and for such other and further relief as to the Court may seem just and proper.

Dated: January 21, 1977

TO: Simon O. Ayah
Plaintiff, Pro Se
P.O. Box 1638
Brooklyn, N.Y. 11202

Yours, etc.

William C. Porth
William C. Porth, Attorney
for Defendant
Office and P.O. Address
70 Washington Square South
New York, New York 10012

Phone: (212) 598-2691

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X
SIMON O. AYAH,

Plaintiff

-against-

NEW YORK UNIVERSITY,

Defendant.

76 Civ. 5225
JUDGE TENNEY

STATEMENT PURSUANT
TO RULE 9(g)

-----X
J
STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

Pursuant to Rule 9(g) of the General Rules of this Court, plaintiff Simon O. Ayah submits that there is genuine issue to be tried as to the following facts:

1. Plaintiff is a student for a degree program at New York University and has satisfactorily completed eight ^{Courses} (8) graduate in that status and for the purpose.

2. Plaintiff has a property interest in his program at New York University and an interest in liberty protected and guaranteed under the First and Fourteenth Amendment rights of the Constitution.

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3. Defendant New York University conspired with another institution to exclude plaintiff from New York University.

4. Defendant divulged plaintiff's confidential records with New York University to another institution without the knowledge and consent of the plaintiff.

5. Defendant took a course of action to discredit and defame the character of the plaintiff.

6. Defendant New York University discriminated against plaintiff with respect to interpretation and application of published regulations of New York University.

7. The motive for this discrimination is principally racism and malice.

Dated: New York, New York
February 1st, 1977.

Respectfully submitted,

Simon O. Ayah

SIMON O. AYAH
Plaintiff pro se.

P.O. Box 1638
Brooklyn, New York 11202

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

SIMON O. AYAH,

Plaintiff,

76 Civ. 5225
JUDGE TENNEY

-against-

NEW YORK UNIVERSITY,

AFFIDAVIT IN OPPOSITION
TO MOTION TO DISMISS

Defendant.

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

SIMON O. AYAH, being duly sworn, deposes and says:

1. I am the plaintiff in the above entitled action and I am familiar with all the facts and circumstances of this action. I have previously made an affidavit, sworn to December 28, 1976 including a supplementary affidavit of December 28, 1976, in support of my application ex parte for an order to show cause for a preliminary injunction in this action. I make this affidavit in opposition to defendant's motion to dismiss.

2, On October 7, 1976 I sent a letter to New York University president Dr. John C. Saxe (Exhibit 16 of the

21

Complaint) in which I appealed to him to objectively and positively consider my case and let me know if New York University would extend to me any immediate relief. My appeal was motivated largely by the fact that there was no other course open for me for relief. I received a letter dated October 21, 1976 (Exhibit 17 of the Complaint) from Dean Robert R. Raymo indicating to me that Dr. Saxhill had referred my letter to him for reply and by his letter of November 11, 1976 Dean Raymo informed me that there was no relief which New York University could extend to me or propose (cf: Exhibit 32 of the Complaint).

3. At no time during my meeting with Dean Raymo on September 12, 1976 did he advise me of my "current student status." In my letter of November 5, 1976 (Exhibit 18 of the Complaint) I informed Dean Raymo of never having received his letter of October 14, 1976 (Exhibit 33 of the Complaint). It was not until November 13, 1976 that I received his letter of November 11, 1976 in which was enclosed a copy of his letter dated October 14, 1976. I was made to understand as by Desai affidavit (paragraph 4) of January 6, 1977 that the said letter was intended as a reply to my letter of August 1st, 1976 addressed to the Chairman, Politics Department concerning change of status

It is hard to believe that an objective response to my request could have been delayed until November 11, 1976 and under the circumstances following my appeal to Dr. Sawhill that there could have a proper exercise of good faith in making such a response. By the character and quality of the letter of October 14, 1976 as well as by the circumstances of the letter, my position is that the letter in question is nothing more than a retaliatory response to my letter of October 7, 1976 addressed to New York University President.

4. I had on three occasions met with Dean Robert Raymo to discuss the difficulties I have with the Politics Department. On each of these occasions Dean Raymo had let me know that he had no jurisdiction to entertain my case or as he put it that such matter was for the Politics Department and I to resolve. As the memorandum of the Director of Admissions, Graduate School of Arts and Science (Exhibit 15 of the Complaint) confirms, the Politics Department was deceiving me and wasting my time thus indicating that the said Politics Department was discriminating against me with respect to the interpretation and application of the published regulations of New York University. Dean Raymo

is now stating that the position of the Director of Admissions was not correct and that his letter of October 14, 1976 was an intervention "to clear any confusion" from the memoranda of the Politics Department and the Graduate Admissions Office (cf: Raymo Affidavit, paragraph 6).

5. My request to terminate my program with an M.A. degree is justified on the provisions in the New York University Regulations as by its rules and practices. Besides, I do not need the M.A. degree in order to be a candidate for the M.A. degree and I have satisfactorily completed the required eight (8) graduate courses for the M.A. degree. As I indicated to Prof. Schain, the Director of Politics Department Graduate Studies, on September 8, 1976 and later to Mrs. Desai on September 22, 1976, I would use the Fall semester 1976 to clear up any deficiency for the M.A. degree, if any.

6. I was admitted to New York University as a degree student and at no time during my attendance at New York University did I register as a non-degree student. The defendant's claim to the contrary is further evidence of its distortion of the facts and its inability to respect its published rules and regulations, The Rules and Regulations which pertain to this

action are those governing Discipline and not those for admission; as well as the regulations, rules and practices that touch on the legal relations between the defendant New York University and this plaintiff.

7. The action in the Eastern District Court filed by the plaintiff on April 7, 1976 has nothing to do with this present action: the cause of action is not the same and the relief sought here by this plaintiff is not the same as that sought in the Eastern District Court. The genesis of this present action can be placed on August 1st, 1976 and the issues surrounding this action did not crystalize into a controversy in the legal sense until the critical date of November 15, 1976. Even defendant's memorandum admits quite clearly that there are certain dissimilarity in many respects in the two legal suits. The Eastern District Court Order was concerned with the plaintiff continuing in his Ph.D program which is not the issue in this present action. A copy of the Eastern District Court's record attached here as Exhibit "W" shows that there is no entry for plaintiff's Brief thus indicating that the Brief was not part of the Eastern District Court's records on the previous action.

8. On the 8th of April, 1976 after I had filed the action in the Eastern District Court, I was permitted to see my file at New York University. The documents I was allowed to inspect indicate that Laval University,, an institution located in Quebec, Canada, had demanded the New York University Politics Department to reconsider my status with the Politics Department. And by a letter dated October 9, 1974 (Exhibit 21 of the Complaint) the New York University Politics Department informed Laval University that my status had been terminated and by another document (Exhibit 23 of the Complaint) noted that I would never be re-admitted in the Politics Department. In November, 1974 Laval University wrote the Politics Department thanking them for their decision to terminate my status (cf: Exhibit 22 of the Complaint).

9. On April 26, 1976 I wrote Mr. Porth, the attorney for the defendant (Exhibit 26 of the Complaint) requesting to inspect certain specified documents in my file, to which there is no reply to date. By letter of January 4, 1977 (Exhibit "X") I requested in addition to the documents specified in my letter of April 26, 1976 to inspect "the documents referred to by Mr. James C. Hsiung in paragraph 4 of his letter of October 9, 1974 to Laval University." The defendant informed me on

January 7, 1977 (Exhibit "Y") that they could not identify these documents. My letter of January 20, 1977 (Exhibit "Z") has not been replied to. The defendant is now stating that in order for me to get these documents I must have to institute another action with the Department of Education, Health and Welfare. This episode clearly confirms that defendant's hands are not clean and that the defendant New York University is an active participant in a conspiracy with Laval University to exclude plaintiff from New York University.

10. Also on reviewing some of the documents on my file that I found out that the defendant transacted with Laval University to have two of the courses I had completed at New York University transferred to Laval University. The defendant claims that I authorized such transaction. This is not true. New York University regulations require that only the student concerned should request his transcript by sending to the Recording Office the appropriate fee of \$2.00 per copy. I made my position in this affair known to Dean Robert Raymo, both by word of mouth and in writing; and I am still opposed to any transaction of this nature taken in derogation of my property right, without my knowledge and consent.

11. It is not true that plaintiff gave New York University any assurance that his M.A. degree from Laval University would be forthcoming. The defendant cannot reasonably expect plaintiff to give such assurance and defendant cannot reasonably rely on any such assurance since the plaintiff is not in a position to make good such assurance. The terms of matriculation in the Ph.D program in the New York University is that upon admission in New York University, the student must have an M.A or its equivalent. Plaintiff was admitted in NYU Ph.D program because he has an M.A equivalent. However the term M.A or its equivalent is ambiguous and no responsible person can reasonably claim that the term "M.A or its equivalent" means exactly an M.A degree.

12. Politics Department of New York University was aware that Mr. Hsiung was under heavy pressure from the domestic problem he was having at the time he was the director of Graduate studies in the Politics Department; that Mr. Hsiung had to dismiss his class on more than two occasions alleging this problem; that Mr. Hsiung on many occasions threatened physical injury to some students and at one time Prof. Zartman had to intervene in behalf of one student; and that the Politics

Department had to let Mr. Hsiung go on leave of absence for a year so that he might re-organize himself.

13. The defendant made many unreasonable and malicious accusations against the plaintiff such as that plaintiff is not in good standing in the Politics Department, misrepresentation, misstatement and took a course of action to discredit and defame the character of the plaintiff. Having failed in its campaign against the plaintiff, the defendant has now instructed the various departments of New York University not to have any dealing with the plaintiff.

14. New York University openly and invidiously discriminated against the plaintiff with respect to the interpretation and application of the regulations of New York University. In the circumstances set forth in the Complaint, it is clear that the defendant has committed a breach of its obligation towards the Plaintiff under its published regulations and is responsible for the violation of plaintiff's legal rights such as are guaranteed and protected by the First and Fourteenth Amendments of the United States Constitution.

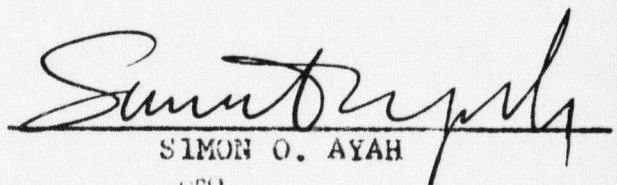
15. Defendant has caused plaintiff to waste two precious years of plaintiff's because of defendant's unreasonable

actions; plaintiff has suffered a heavy financial loss because of defendant's unreasonable actions and plaintiff has also suffered indignity because of defendant's racial policy against the plaintiff.

15. Having deliberately abused plaintiff's legal rights by its unreasonable actions; its involvement in a conspiracy being exposed in broad day light; defendant now seeks immunity from this Court against legal actions by the plaintiff, under the pretext of the so-called res judicata and its associated doctrine of collateral estoppel. My position is that this Court is entitled as a duty and in the interest of justice to know the whole truth by dissecting the complex facts of this controversy and by observing the persons involved in this action perform under oath. For the reason that defendant's motion to dismiss is inadequately supported on the face of defendant's papers and for reasons stated in this affidavit, the motion to dismiss should be denied.

Sworn to before me this

1st day of February, 1977.


SIMON O. AYAH

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CLERK OF DISTRICT COURT
JAN 24 1977

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SIMON O. AYAH v. NEW YORK UNIVERSITY

76 Civ. 5225 C.H.T.

ENDORSEMENT

Plaintiff proceeds pro se and by order to show cause seeks a complete and correct transcript of his records at New York University and the right to complete his program there at the M.A. degree level. The facts, as best I can discern them, are that the plaintiff was provisionally accepted as a student in the N.Y.U. graduate studies Ph.D. program contingent upon its receipt of proof that he had obtained an M.A. degree from Laval University. Pending receipt of such evidence, plaintiff was permitted to take courses at the university as a non-degree student. In February 1976, the university was satisfied that plaintiff could not produce satisfactory evidence that he received an M.A. degree from Laval and his status as a non-degree student was terminated.

The motion is denied in all respects and the underlying complaint dismissed.

The procedures for the transmission of transcripts are set forth at page 59 of the 1976-1978 N.Y.U. Bulletin for the Graduate School of Arts and Sciences. There it provides that a transcript may be obtained by payment of a fee and that customarily the transcript is not given personally to the applicant, but is mailed directly to the institution to which the applicant desires it to be sent. As I understand it, the university is willing to follow this procedure, but plaintiff insists that he be given the transcript personally. There is nothing to warrant interference by the court in a dispute of that dimension. Whether the university is willing to depart from the normal course in this instance is entirely within its sound discretion. As to the claim with respect to the right to complete his N.Y.U. program at the M.A. level, since the plaintiff is not now a student at N.Y.U., he has no right in that regard which this court could vindicate. Accordingly, the order to show cause must be vacated and the motion dismissed.

✓ In his complaint plaintiff seeks declaratory judgment against N.Y.U. on various issues relative to his dispute with the institution in regard to his student status. In April 1976, plaintiff instituted an action in the Eastern District of New York to enjoin N.Y.U. authorities from interfering with plaintiff's academic program. By memorandum and order dated June 1, 1976, (Constantino, J.) that complaint was dismissed. The complaint here raises essentially the same issues decided by Judge Constantino. Under the circumstances the matter is surely governed by the doctrine of res adjudicata, or issue preclusion. Weston Funding v. Lafayette Towers, Inc., 410 F. Supp. 980, 983, 985, (S.D.N.Y. 1976), aff'd, F.2d (2d Cir. slip opinion No. 76-17190 decided January 4, 1977). Accordingly, the complaint is dismissed.

IT IS SO ORDERED.

Dated: New York, New York
January 25, 1977

ROBERT L. CARTER
U. S. D. J.

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

-----X
SIMON O. AYAH,

Plaintiff,

76 Civ. 5225

-against-

JUDGE CARTER

NEW YORK UNIVERSITY,

MOTION TO RECONSIDER

Defendant.
-----X

STATE OF NEW YORK (
COUNTY OF NEW YORK (SS.:

Comes now SIMON O. AYAH, plaintiff in the above styled Civil Action, and files this motion for reconsideration of this Court's order, dated January 25, 1977 dismissing the complaint by virtue of the doctrine of res judicata, or issue preclusion. Plaintiff avers as grounds for his motion for reconsideration the following:

1.

It is respectfully submitted that this Court has overlooked the controlling law of the action under Rule 65, Fed. Civ. Pro. for Preliminary Injunctive Relief applicable in this case in deciding on the merit while it is doubtful whether the Court has subject matter jurisdiction to decide on the issues of the action.

2.

It is respectfully submitted that this Court has incorrectly predicted the ultimate law governing res judicata as to the issues to be precluded in this action.

BEST COPY AVAILABLE

WHEREFORE, plaintiff prays that the Court inquire into this motion, set aside its order of January 25, 1977 and permit trial on the merit of the action.

Dated: New York, New York
February 24 1977.

Yours, etc.,

SIMON O. AYAH, Plaintiff
P.O. Box 1638
Brooklyn, N.Y. 11202
(212) 789-7490

SOUTHERN DISTRICT OF NEW YORK

-----X

SIMON O. AYAH,

Plaintiff,

76 Civ. 5225

-against-

Judge Carter

NEW YORK UNIVERSITY,

AFFIDAVIT

Defendant.

-----X

STATE OF NEW YORK)
COUNTY OF NEW YORK) SS.:

SIMON O. AYAH, being duly sworn, deposes and says:

1. I am the plaintiff in the above entitled action and I make this affidavit in support of the within motion for a reconsideration of the Court's decision of January 25, 1977 and to grant a trial on the merits. The motion of the defendant in this action was returnable on February 8, 1977 before HONORABLE JUDGE TENNEY.

2. On November 22, 1976 and thereabouts, I filed this action which was then assigned to HONORABLE JUDGE TENNEY. The defendant, on December 22, 1976, applied to the said Judge and obtained an extension of time, and at the same time notified both the Judge and the plaintiff of its intention to move for dismissal of the complaint on summary judgment. On February 7, 1977, at the request of the defendant, plaintiff consented to another extension of time to January 19, 1977. On January 23, 1977 I received defendant's notice of motion to dismiss, affidavit supporting it, statement pursuant to Rule 9(g), and Memorandum of Law. These documents were dated January 21, 1977. The defendant's motion to

dismiss was returnable before JUDGE TENNEY, on February 8, 1977.

3. On December 28, 1976 I applied for and obtained an order to show cause for a preliminary injunction motion returnable in Part I of this court on January 7, 1977. I received the Court's decision on this motion only on February 2, 1977 after I had sent to the defendant: A statement pursuant to Rule 9(g), affidavit in opposition to motion to dismiss the complaint and Answering Memorandum of Law. These documents were dated February 1, 1977 and were mailed postage prepaid to the defendant the same day.

4. Defendant's papers opposing the motion for a preliminary injunction were served on the plaintiff only 30 minutes before the motion was returnable in Part I of this court. Under the general rules of this court, such surprise move by the defendant is inadmissible.

5. My position in opposing defendant's motion to dismiss the complaint is clearly set forth in the papers which I sent to the defendant. I attach these papers to this motion for this Court's reconsideration of its decision on the grounds that res judicata and its associated doctrine of collateral estoppel should not preclude or bar any consideration on the merit of the case and for the reason that defendant's motion to dismiss is inadequately supported on the face of its papers.

6. My understanding is that the motion for a preliminary injunction pursuant to Rule 65 FRCP was not a trial on the merit. According to the notice filed with this Court by the defendant, its motion to dismiss was returnable before HONORABLE JUDGE TENNEY

on February 8, 1977 at 10:30 A.M., to when the action was assigned and that JUDGE TALLEY has valid subject matter jurisdiction in this action and that under the circumstances, a consideration for the motion for a preliminary injunction has nothing to do with the merit of the case. It is submitted that decision pursuant to Rule 65 is procedural and not substantive. I was not aware that the trial in Part I of this court was for consolidation and advancement in view of the fact that the trial court was on notice that defendant's motion for trial on the merit of the case was returnable on February 8, 1977. Since my action is not for permanent injunction, it is doubtful that Rule 65(a)(2) FRCP has any application on the merit. It is also doubtful whether the Court has equity "jurisdiction" to determine the issues presented in this action.

7. This is a diversity case involving federal question. As concerns diversity, the rules and principles of International Law should apply. Dismissal of the complaint without proper trial will result in a denial of justice and under Exhaustion of Local Remedy doctrine, will be a course for a protracted international claim.

8. For the reasons stated herein, I move this Court to reconsider its decision dismissing the complaint and to grant leave to appeal the order denying the preliminary injunctive relief under Sections 1291 or 1292, Title 28 U.S.C.

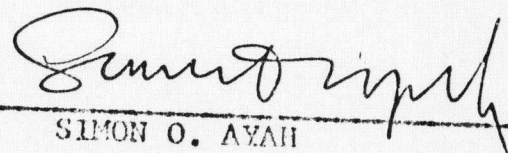
9. Since the defendant has my papers in opposition to

the supporting affidavit to William C. Porth, Esq., attorney for
the defendant.

Sworn to before me this

4TH day of February, 1977.

Bernard P. Rose


SIMON O. AYAH

BERNARD P. ROSE
NOTARY PUBLIC, State of New York
JULY 1976
Qualified to Commence Term
Expiration Date: March 23, 1978

AYAH VS NEW YORK UNIVERSITY

DATE	NR.	PROCEEDINGS	
4/7/76		Complaint filed- Summons issued	(1)
-12-76		Pltff's motion for preliminary injunction filed.(ret 4-16-76).	(2)
-26-76		Summons ret and filed/executed.	(3)
-28-76		Memorandum of deft filed.	(4)
-28-76		Filed notice of motion to dismiss ret 5-3-76.	(5)
4-29-76		Affidavit of Simon Ayah with additional statement in support of motionn for perliminary injunction filed.	(6/7)
i-3-76		Affidavit of W. poeth filed.	(8)
-3-76		Before COSTANTINO, J. Case called. Motion for preliminary injunction argued & denied. Defts motion to dismiss argued, decision reserved.	
-2-76		By COSTANTINO, J. Memorandum & Order dtd 6-1-76 granting deft's motion for summary judgment filed. p/c	(9)
-2-76		JUDGMENT dtd 6-2-76 that the pltff take nothing and that the deft's motion for summary judgment is granted. mg	(10)



NEW YORK UNIVERSITY INTERNATIONAL STUDENT CENTER
54 Washington Square So., New York, N. Y. 10012

REGISTRATION REFERRAL FORM

To: Political Sci.
503 Rufus Smith Hall
Name of student: Simon Ayala
Country of citizenship: Nigeria
Date accepted for admission by school: 2/21/73
Accepted for (degree): PhD
Visa status: G-2
Must register for full-time program: ☐ Yes ☒ No
Documents attached: ☐ ISC application
☐ Credentials
☐ English Proficiency Report

Remarks: I view of appl's Canadian
records are old not test for
Engl.

For ISC: A. James Lewis
Date: 9/15/73

School Copy

FIN

3



NEW YORK UNIVERSITY
GRADUATE SCHOOL OF ARTS AND SCIENCE

THIS PERMIT TO REGISTER MUST BE PRESENTED AT REGISTRATION.

AT REGISTRATION, THE PINK PERMIT MUST FIRST BE PRESENTED IN THE DEPARTMENT OF YOUR MAJOR (FIRST STEP IN THE REGISTRATION PROCESS) AND FINALLY DEPOSITED WITH ADMISSIONS OFFICE PERSONNEL IN THE REGISTRATION HALL.

Name Ayah Singh O.
Last First Initial

Address 240 First Ave. #3H
No. & Street
New York New York
City State Zip Code

You have been accepted for: ☐ MA ☐ MS ☒ PhD

Department Politics Term: ☒ F ☐ S ☐ Su 19 73

NOTIFICATION OF CONDITIONS OF ADMISSION:

You have been accepted for admission in the classification, and with the conditions, if any, noted below. You are permitted to register for the term and department indicated. Such registration is subject to the regulations of the Graduate School of Arts and Science. If you have already registered, this form should be considered only as notification of admission action, and is not necessary for registration purposes.

CLASSIFICATION: ☒ Matriculant ☐ Non-matriculant

GRADUATE SCHOOL CONDITIONS TO BE SATISFIED WITHIN SIX MONTHS:

☒ Final official transcripts are required from:

Laval

DEPARTMENT CONDITIONS:

☐ Must attain "B" or better in first 12 graduate credits

☐ Transfer credit will be awarded for the following:

<u>Year</u>	<u>College & Dept.</u>	<u>Course No. & Title</u>	<u>Points</u>
-------------	----------------------------	-------------------------------	---------------

☒ Other: subject to evaluating
final transcripts from Laval
and matriculation exam.

Date _____

Student's Signature _____

RECORD OF 240 13th AVE.
#8H NYC

Major Department POLITICS
Previous Degrees YORK BA '71

GRADUATE CREDIT TRANSFERRED (1)

(2)

(3)

[illegible]

RECORD IN RESIDENCE:

[illegible]

THESIS:

DEGREE CONFERRED:

REMARKS: ACCEPTED INTO PH.D PROGRAM

REGISTRAR

PATF

51

RECORDED

DATE OF BIRTH 8/15/42

NOT VALID AS AN OFFICIAL
TRANSCRIPT WITHOUT SEAL

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

- - - - - x
:
SIMON O. AYAH, :
:
Plaintiff, : 76C-643
:
- against - : AFFIDAVIT
:
NEW YORK UNIVERSITY, :
:
Defendant. :
:
- - - - - x

STATE OF NEW YORK)
: SS.:
COUNTY OF NEW YORK)

RICHARD S. RANDALL, being duly sworn deposes and says:

1. I am Professor of Politics and Chairman of the Department of Politics in the Graduate School of Arts and Science of New York University. As Chairman of the Politics Department, a position which I have held since September 1973, I have general administrative responsibility for the management of the department, including the supervision of admissions to the department. I am familiar with the plaintiff's application for admission to the Ph.D program of the Graduate School of Arts and Science, and with the action taken with respect to that application. I have read the plaintiff's complaint and papers in support of his motion for a preliminary injunction and make this

affidavit in opposition to plaintiff's motion and in support of defendant's motion to dismiss the complaint.

2. New York University (hereinafter also referred to as N.Y.U.) is a private education corporation organized under the laws of the State of New York. The Graduate School of Arts and Science (hereinafter also referred to as GSAS) is an integral part of the University, and is headed by Dean Robert R. Raymo. The Graduate School of Arts and Science is composed of departments representing various academic disciplines, including the Department of Politics. All of the policies of the Graduate School of Arts and Science including its admission policies, are determined and implemented within the University by its faculty and staff. There is no state or other governmental involvement in the establishment of GSAS's admissions policies nor in the selection of applicants for admission to any of GSAS's programs.

3. The program's policies and requirements and other matters related to the Graduate School of Arts and Science are set forth in a Bulletin which is sent to all persons requesting applications for admission to the Graduate School of Arts and Science. A copy of pertinent sections of the 1973-1974 Bulletin of GSAS is annexed hereto and marked Exhibit "A". The Bulletin sets forth qualifications for admission status and specifies that an applicant may be accepted with conditions,

which must be met as stated before the applicant can become a matriculant for a degree. Upon completion of the review of an applicant for admission, if preliminarily acceptable, the applicant will receive a permit to register which certifies that the student is eligible to register; advises the student of conditions of admission; and certifies the entering status as matriculant, limited matriculant or non-matriculant.

4. In addition to the general admission requirements indicated in the Bulletin of GSAS, each department has its own requirements. In order for an applicant to be admitted to matriculation in the Ph.D program in the Department of Politics (Exhibit "A", p. 286), the applicant must have a Master's degree and pass a one hour oral qualifying examination, based on two papers that the applicant has submitted.

5. The plaintiff has failed to meet the requirements for matriculation in the Ph.D program since he has never obtained the Master's Degree and in the absence of this requirement was not entitled to take the oral qualifying examination.

6. Plaintiff submitted an application for the Ph.D program which was received by New York University on July 27, 1973 (Exhibit "B"). In this application plaintiff represented he would receive the M.A. degree from Laval University in September 1973.

7. Plaintiff was advised on August 15, 1973 that his file would not be complete until he submitted official trans-

16. It is clear from the undisputed documentary evidence that plaintiff has failed to meet the educational requirements of New York University which are uniformly required of all applicants for admission to the Ph.D program in the Department of Politics. The complaint herein is without merit and should be dismissed and the motion for a temporary injunction should be denied.

/s/ Richard S. Randall

Sworn to before me
this 22nd day of April, 1976.

Notary Public

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

- - - - - x
:
SIMON O. AYAH, :
:
Plaintiff, 76C-643
:
-against- : AFFIDAVIT
:
NEW YORK UNIVERSITY, :
:
Defendant. :
:
- - - - - x

STATE OF NEW YORK)
: ss.:
COUNTY OF NEW YORK)

WILLIAM C. PORTH, being duly sworn deposes and says:

1. I am the attorney for New York University, the defendant in the above entitled action, and make this affidavit in support of an application to postpone the plaintiff's motion for a temporary injunction, which was originally returnable before Judge Platt on April 16, 1976, and subsequently referred to Judge Costantino.

2. As of the date of this affidavit the defendant has not yet been served with a copy of the summons and complaint in this matter. Although the plaintiff did mail a notice of motion to New York University, Washington Square Center, Washington Square, New York City, N. Y. 10003, it did not come to my attention until late Thursday morning, April 15, 1976, following

as Exhibit "B", is a copy of a letter from Laval University as late as October 23, 1974, indicating that the plaintiff had not received his M. A. Degree and was far from doing so.

5. The plaintiff is presently attending New York University and there is no intent on the part of the University to prevent his completing the course in which he is presently enrolled. However, as is clearly set forth in a letter from the Chairman of the defendant's Department of Politics to plaintiff, dated February 25, 1976, a copy of which is attached as Exhibit "C", the University will not permit the plaintiff to continue in the Ph.D program in September 1976 unless and until he meets the University's requirement that he procure his M. A. Degree from Laval University, or some other institution.

6. A preliminary examination of the complaint and the documents attached thereto, as well as the documents submitted as exhibits with this affidavit, not only indicate that there is no basis for Federal jurisdiction of this action but that the defendant would be entitled to summary judgment dismissing the complaint even if jurisdiction did exist.

7. It is defendant's intention to move for a dismissal of the complaint and also to submit papers in opposition to plaintiff's motion for a temporary injunction. In order to have both motions heard together and to comply with the rules of this Court, it is respectfully requested that this matter be adjourned to the week of May 3, 1976. As previously noted, the plaintiff

will not in any way be prejudiced by an adjournment of his motion for a temporary injunction since he is presently attending classes and there is no intention to prevent him from completing this semester. It is respectfully submitted that it will assist the Court and the parties to hear at the same time the plaintiff's motion for the temporary injunction and the defendant's motion to dismiss the complaint.

William C. Porth

Sworn to before me this
16th day of April, 1976.

Notary Public

STANLEY YAKER
Notary Public, State of New York
No. 4358575
Qualified in Bronx County
Exp. 12/31/77

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF NEW YORK

-----x
SIMON O. AYAH,

Plaintiff,

76-C-643

v.

NEW YORK UNIVERSITY,

MEMORANDUM and
ORDER

Defendant.
-----x

JUN 1 1976

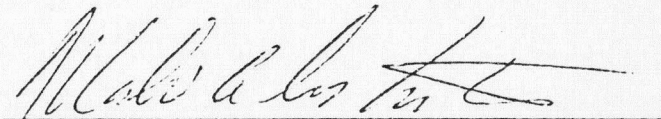
COSTANTINO, D.J.

Plaintiff seeks to enjoin defendant from interfering with the plaintiff's academic program. Plaintiff also seeks to recover costs and disbursements of this action. Defendant moves for an order pursuant to Fed.R.Civ.P. 12(b) and 56(b) dismissing the complaint.

A review of the record makes it clear that there is no genuine issue as to a material fact. Plaintiff was admitted to defendant's PhD program "subject to evaluating final transcripts from Laval [University] and matriculation exam." [Defendant's Exhibit C]. Acceptance was based on plaintiff's assurance that he would be receiving an M.A. degree from Laval University [Defendant's Exhibits B and D]. Defendant learned that plaintiff had not received an M.A.

degree from Laval because plaintiff had not completed two additional courses as a pre-requisite to presenting his master's thesis. Defendant subsequently advised plaintiff that he could not be considered a student in good standing in a PhD program at New York University because he lacked an M.A. degree.

Plaintiff contends that in denying him admission defendant has caused him to suffer great financial loss and irreparable injury. There is no indication in the papers filed with the court that defendant has violated any rights of the plaintiff which could form a legal basis for a cause of action. Defendant has simply denied plaintiff admission to a program where plaintiff lacked the pre-requisite degree. Defendant's motion for summary judgment is granted. So ordered.


U. S. D. J.

New York University

Department of Politics

25 Waverly Place

New York, N.Y. 10003

Telephone: (212) 598-3277

July 16, 1976

Dear Graduate Student:

We have not yet received from you the graduate questionnaire sent to you previously. In order to up-date our records, we very much need your response. I hope you will take the time now to fill out the questionnaire and return it to us in the enclosed stamped and addressed envelope.

Sincerely,

Richard S. Randall

Richard S. Randall
Chairman

P.S. Please be sure to answer the financial aid question on the last page.

40-44 Kissena Blvd., 19-J
Flushing, New York 11355

August 1st, 1976

Chairman, Department of Politics
New York University
25 Waverly Place
New York, New York 10003

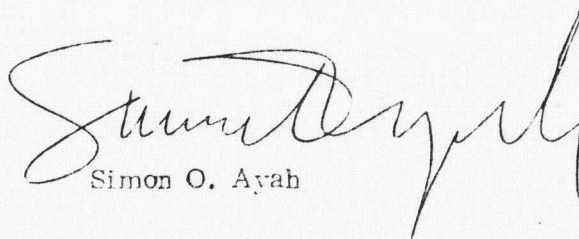
Dear Sir:

I wish to request you that I terminate my current Ph.D. program at M.A. degree. I have todate satisfactorily completed with this department a total of eight (8) graduate courses (i.e. 32 credit points). Please, let me know if these courses are sufficient to satisfy the M.A. requirements.

I have important commitments which demand my full attention, and I will not be able now to continue in the Ph.D. program, and will consider the M.A. degree satisfactory for my present objective.

I will appreciate your giving this letter your most immediate attention.

Yours truly,



Simon O. Ayah

To files of Simon Ayah

From: Martin A. Schain
Director of Graduate Studies

M. A. Schain bhs

Simon Ayah has requested a change of status from non-degree to M.A. candidate. A consideration by this department of that request is subject to approval by the Admissions Office.

Our records indicate that two New York University courses were applied to his degree at Laval University. There is some question as to whether these two courses can be applied toward his degree at New York University.

Our records indicate that Mr. Ayah has not yet completed any language requirement.

Mr. Ayah's admission to the M.A. program would be subject to normal procedures of the Department of Politics.

MAS/bhs

*201 Graduate Council
10/1/68*



NEW YORK UNIVERSITY

Interdepartmental Communication

TO: Dean Raymo
Professor Schain
Mr. Ayah
Professor Randall

FROM: Inge M. Marelli, GSAS Admissions *IM*

DATE: September 13, 1976

RE: Mr. Ayah's Change of Status Request

Mr. Ayah has shown me a memo from Professor Schain indicating that his change of status would be contingent upon the decision of the Admissions Office. This is not the case. Students seeking to change their status within the department for which they have been accepted as no-degree students must petition the departments in which they are enrolled. This decision is appropriately made by the chairman of the department and communicated to the Recording Office and the student involved.

IMM:gk

New York University

Graduate School of Arts
and Science

The 91st Session, 1976-1977
The 92nd Session, 1977-1978

Washington Square Center, Washington Square
New York, N.Y. 10003
Dental Center, 342 East 26th Street, New York, N.Y. 10010
Medical Center, 550 First Avenue, New York, N.Y. 10016
Institute of Fine Arts, 1 East 78th Street, New York, N.Y. 10028



printed on recycled paper

About New York University

New York University is a private, metropolitan university that offers the advantages of a great urban setting to a highly diverse student body. It currently enrolls more than 29,000 students in degree-conferring divisions. They come from all 50 states and more than 100 countries.

Founded in 1831, the University includes 13 colleges and schools at five major centers in Manhattan. In addition, the University owns and operates New York's celebrated Town Hall as its midtown cultural arts center. At a 500-acre site in Sterling Forest, near Tuxedo, New York, are located certain of the University's research activities, notably the Institute of Environmental Medicine.

Although the University is large, its individual units are small enough to ensure intimate instruction and advisement for every student. Enrollment in the major undergraduate divisions ranges between 63 and 4,200. The average size of undergraduate and graduate classes is 30 students.

A full- and part-time faculty of more than 5,300 men and women teach more than 2,500 courses leading to more than 20 different degrees. Through extensive summer and evening courses, educational opportunities are opened to ambitious students who cannot give full time to their studies.

New York University is committed to a policy of equal treatment and opportunity in every aspect of its relations with its faculty, students, and staff members, without regard to race, color, religion, sex, national origin, age, or handicap.

The University is an independent institution operating under a Board of Trustees. It derives its income from tuition, endowment, grants from private foundations and government, and gifts from friends, alumni, corporations, and other private philanthropic sources.

The Larger Campus

New York University is an integral part of the metropolitan community of New York City—the business, cultural, artistic, and financial center of the nation and the home of the United Nations. The city's extraordinary resources enrich both the academic programs and the experience of living at New York University—just as the resources of the University contribute in hundreds of ways to the life and work of the city.

This two-way relationship has important implications for education at New York University. Professors whose extracurricular activities include service as editors for publishing houses and magazines; as advisers to city government, banks, school systems, and social agencies; and as consultants for museums and industrial corporations bring to teaching an experience of the world and a professional sophistication that are hard to match. Sponsored programs at New York University

Center, the Brookdale Dental Center, Sterling Forest, and at the Institute of Fine Arts. Maps for reaching these areas are located in the back of this bulletin. Arrangements have been made by several departments of the Graduate School for students to use the facilities of nearby institutions such as The Metropolitan Museum of Art, the New York Botanical Garden, the Museum of Modern Art, the Osborn Laboratories for Marine Science, the New York Zoological Society, and the Strang Clinic of Preventive Medicine.

Admission

Admission to the Graduate School of Arts and Science is offered to applicants with the bachelor's degree (or equivalent foreign credentials) who show promise of superior scholarly achievement. An applicant is judged by his or her academic record; recommendations of instructors and others qualified to evaluate academic ability, interest and potential; academic or professional honors; and where relevant, test scores and practical experience. Applicants are considered regardless of sex, race, color, religion, or national origin.

An offer of admission to the Graduate School of Arts and Science is valid for three semesters, the one for which the applicant initially applies and the two subsequent semesters (including the summer session). Students who withdraw, or do not register within the time for which the offer of admission is valid, must file a new application. Consult the Office of Admissions in reference to any additional credentials required.

No student will be permitted to register unless he or she has been notified of acceptance by the Office of Admissions. Admission to study in the Graduate School of Arts and Science does not imply admission to degree candidacy. Further requirements, as outlined in other sections of this bulletin, must be met for degree candidacy.

Application Procedures

Applications for admission and financial support can be obtained from the Office of Admissions, Graduate School of Arts and Science, New York University, 6 Washington Square North, Room 22, New York, N.Y. 10003. Applicants with foreign credentials and/or non-immigrant visas should see pages 55-56. The application, fee (\$20.00 nonrefundable check or money order payable to New York University), three letters of academic reference, and duplicate official college transcripts should be received by the Office of Admissions before the dates listed below.

Admission and Financial Support January 14

Admission only for:

Spring term November 1

Summer session May 1

Fall term June 1

Admission for Psychology Ph.D.	February 14
Admission for Psychology Postdoctoral	April 1
Admission for Fine Arts	January 14

Admission Credentials

An applicant must provide two detailed *final official* transcripts from *all* institutions attended, both undergraduate and graduate. An *official* transcript is a document issued by the institution concerned bearing the seal and/or original signature of the appropriately authorized college official. Transcripts must be sent by the issuing school directly to the Office of Admissions, Graduate School of Arts and Science, New York University, 6 Washington Square North, Room 22, New York, N.Y. 10003. Three letters of recommendation should be sent directly to the Office of Admissions from faculty members who have instructed the applicant in the same field in which graduate study will be pursued or in the major field of study up to the time of applying. While all departments of the Graduate School of Arts and Science find the Graduate Record Examination scores helpful in evaluating an applicant's record, these scores are required only by the departments of Anthropology, Fine Arts, and Psychology and in some divisions of the Basic Medical Sciences Department. Applicants should arrange to take the G.R.E. through the Educational Testing Service, Princeton, New Jersey 08540. Scores must be reported through the Educational Testing Service to New York University, Graduate School of Arts and Science Office of Admissions, 6 Washington Square North, Room 22, New York, N.Y. 10003. All documents submitted in support of applications become part of the permanent records of the Graduate School of Arts and Science and will not be returned or duplicated for any purpose. Applicants with foreign credentials and/or nonimmigrant visas should see pages 55-56.

Classification of Admission

Matriculants: Students seeking graduate degrees who may or may not have to satisfy departmental and/or Graduate School conditions.

Nonmatriculants: Students who are not seeking degrees and who may be accepted with or without conditions of admission (possible only in certain departments). *Students not seeking degrees must meet the same scholastic standards and application deadlines as students who are seeking degrees, both for admission and during enrollment in the Graduate School.* They must supply complete applications and satisfy the same application requirements as students seeking degrees. Courses taken by nonmatriculants will normally but *not necessarily* be applicable toward a degree should a nonmatriculant decide to pursue a degree at a later date. No more than 12 credits may be taken as a nonmatriculant without departmental approval.

Permit to Register

A new applicant admitted to the Graduate School of Arts and Science will receive two copies of the Permit to Register along with a letter of acceptance. This form serves three functions: (1) to certify that the student is eligible to register; (2) to advise the student of transfer credit awarded and conditions of admission; and (3) to certify the student's entering status. *No new student will be permitted to complete registration without presenting the Permit to Register.*

Conditions of Admission

These conditions, if any, will be noted on the Permit to Register. Failure to satisfy any assigned conditions may result in permanent dismissal from the Graduate School.

Special Students

Applicants interested in taking a limited number of courses *in the summer session only* should complete and submit the brief application form enclosed in the summer bulletin. *A final official bachelor's transcript must be submitted in support of this application.*

Visiting Students

Applicants wishing to enroll as visiting students may obtain two copies of the "Visiting Student Permit to Register" from the Office of Admissions. These forms are to be completed and signed by the applicant and the dean of the student's home institution. One copy should be kept by the dean. The other copy is to be retained by the student and submitted, upon request, during registration for the course(s) indicated on the form. Such registration will be on a nonmatriculated basis. All satisfactorily completed courses are awarded full credit by the Graduate School. The Visiting Student Permit to Register is valid only for the semester indicated. New Permits to Register must be submitted for additional semesters. No supplementary credentials are required for visiting student status. If a registered visiting student decides, at a later date, to apply for admission as a regular student in the Graduate School, the usual application for admission with supporting credentials must be submitted. Applicants for visiting student status must meet the following requirements:

1. Only matriculated students in graduate degree programs at accredited colleges or universities may enroll in the Graduate School of Arts and Science as visiting students.
2. Courses to be taken in the Graduate School of Arts and Science as a visiting student must be applicable toward a graduate degree at the parent institution.
3. The above conditions must be certified and approved by the dean of the parent institution or a similarly authorized official.

pursuant to such rules, regulations, or practices, the withdrawal of a student is required before the end of the term for which tuition has been paid, a refund will be made according to the standard schedule for refunds.

Violations will be referred to the Dean and to the Faculty Committee on Discipline.

Transcripts of Record

A fee of \$2.00 is charged for each transcript. Applications for transcripts, certifications, and other statements relating to the record should be addressed to the Recording Office of the Graduate School of Arts and Science with correct remittance and should state the years of attendance, all schools of the University attended if more than one, and the name and address of the official to whom the information is to be mailed. In accordance with the general practice of colleges and universities, complete official transcripts and certifications (those bearing the original signature and seal) are sent directly by the University, not transmitted by the applicant. A partial transcript of a University record may not be issued. Once a final examination period has begun, no transcript will be forwarded for any student who is currently enrolled in courses until all the student's final grades have been received and recorded.

A grade report is mailed to the student at the end of each term. This cumulative record, known as a "student copy," is not valid for transfer or certification purposes. Additional student copies may be obtained at a cost of \$2.00 each.

The University reserves the right to withhold registration material and all information regarding the record of any student who is in arrears in the payment of fees or other charges, including student loans.

Guidelines and Requirements for Progress Toward a Degree

Continuous Registration/Leaves of Absence

A student is required to maintain matriculation continuously, either by registering for at least one three point course each academic year or by paying a matriculation fee each year until he or she has completed all degree requirements or withdrawn.

Courses in the School of Continuing Education may not be used to maintain matriculation.

This maintenance of matriculation fee, which is due at registration, entitles students to continue to use the libraries and other research facilities, consult members of the faculty, participate in University

activities, use the student health services, and, if they have completed all course and point requirements, audit any courses (with the permission of the instructors). Students who have not maintained matriculation continuously may not qualify for a degree until they apply for readmission, are readmitted, and pay all delinquent matriculation fees. Students must be matriculated during the semester immediately preceding graduation.

A student in good standing who is obliged to withdraw temporarily for serious illness or compelling personal reasons may request a leave of absence which, if recommended by the department and granted by the Dean, will maintain matriculation and assure readmission at the expiration of the leave. Such leaves of absence do not ordinarily exceed one year, but may be extended under exceptional circumstances. A leave of absence (except for reasons approved by the Dean) does not change any time limits fixed by the department or the school for completing part or all of the requirements for a degree.

Full-Time Status

A minimum full-time semester program is 12 points or the equivalent. A minimum full-time summer program is at least 6 points in either of two summer sessions. Students are not permitted to register for more than 16 points during a regular term or more than 8 points during a six-week summer session.

To be certified as "full time" a student must enroll for at least two courses; in addition, equivalents approved by the department may make up the full-time load. Opportunities for equivalents are: (a) assignment as a teaching fellow or graduate assistant at New York University (one course equivalent per semester), (b) assignment as research assistant at New York University (one course equivalent per semester), (c) supervised research on a doctoral dissertation, (d) supervised research on a master's thesis (this equivalency may be used once), (e) required internship, (f) preparation for departmental qualifying or comprehensive examination (maximum of one equivalent per degree), and (g) preparation for foreign language examination (a maximum of one course equivalent per language required). Note that equivalents do not count toward the 32 or 72 points required for the master's or doctor's degrees, respectively.

To be certified as full time for either portion of the summer session a student must enroll for two courses in either six-week session. No equivalents are allowed for summer sessions.

A student is defined as 'full time' for an academic year by taking a full-time program during both the fall and spring semesters and may be certified as such. Maintenance of matriculation by fee is not considered as full-time status. However, students maintaining matriculation by fee, who are spending half or full time in the completion of degree requirements (thesis, comprehensive examinations, or language

requirements) may be certified as half- or full-time by submitting to the Recorder a Full-Time/Half-Time Maintenance of Matriculation Form obtainable in the Recording Office or the Department and signed by the adviser and chairman of the department. This will be necessary for students on government loans, veterans, or fellowship-scholarship recipients.

Academic Standing

Only graduate courses satisfactorily completed in residence at New York University, or at another institution if recommended by the department and approved by the Dean, may be accepted toward an advanced degree. Courses completed in partial fulfillment of the requirements for the bachelor's degree may not be accepted toward an advanced degree.

Students in the Graduate School of Arts and Science are assigned the following grades:

- A Excellent
- B Good
- C Passing
- F Failure
- N No credit (used only when the grade of IP has lapsed)
- P Completion of a reading or research course
- IP Incomplete (passing)
- IF Incomplete (failing)
- R Audit (no credit)
- W Withdrawal

The assignment of an incomplete grade is at the discretion of the instructor. If an incomplete grade is not changed by the instructor to a permanent grade within one year of the beginning of the course, IP lapses to N (no credit) and IF to F. The symbol W denotes official withdrawal from the course. Grades of B and C may be suffixed by plus or minus. The grade of A may be suffixed by minus.

In order to remain in good standing, all students are expected to maintain grades of B or better.

A cumulative grade report (student copy) not valid for transfer or certification is mailed to each student at the end of each term. Application for an official transcript or other certification should be addressed to the Recording Office and should include the correct fee, full name, years of attendance, all schools attended in the University (if more than one), and the name and address of the official to whom it is to be sent. Official transcripts bearing the original signature and seal are sent directly by the University, not supplied to the student for transmittal. Only complete transcripts are issued. Two weeks should be allowed for processing.

Conferral of Degrees

Degrees are conferred in June (at the University Commencement), in October, and in February. A candidate for a degree must file a Diploma Application Card in the Recording Office at least four months before the date of conferral. Diplomas will be sent by certified mail to the recipient's address on file in the Recording Office. On request, the Recorder will issue to the student who has satisfactorily completed all the requirements for an advanced degree a statement certifying that he will be recommended by the faculty for award of the degree at the next conferral of degrees. No degree is conferred *honoris causa* or for studies undertaken entirely *in absentia*.

Master's Degree

A student who wishes to qualify for the degree of Master of Arts or Master of Science is expected to meet the requirements of both the Graduate School of Arts and Science and the department or program which administers the degree. The Graduate School of Arts and Science requires that the student satisfactorily complete at least one year of study beyond the bachelor's degree and pass a comprehensive examination, prepare an acceptable thesis, or complete an appropriate special project before the Master's degree can be conferred. Formal requirements include satisfactory completion of graduate studies totaling at least 32 points (at least 24 in residence at New York University and 16 in one department); 18 points must be completed with grades of B or better. Proficiency in at least one foreign language is also required. Other requirements vary from department to department. Further information on degree requirements may be found in departmental announcements on pages 90-344.

A candidate for the master's degree must complete all requirements for the degree not later than five years from the date of matriculation. A petition for waiver of the time limit, if recommended by the department to the Dean, will be considered if the candidate has completed all the degree requirements and satisfied any other conditions which the department may define. If accepted, one copy of the thesis is presented to the department; certification of the approval of the thesis by the adviser and the department must be delivered to the Recording Office. A student without the master's degree who is admitted to the Graduate School of Arts and Science is ordinarily matriculated only for the master's degree. The student may matriculate for the doctorate only with the written approval of the department, which must then be filed in the Recording Office. Special forms for this purpose are available in the Recording Office.

Doctor's Degree

The Doctor of Philosophy is the highest degree awarded by the University and is conferred for advanced studies in which the student

demonstrates outstanding original scholarship. It signifies that the student is able to conduct independent research and has both a broad basic knowledge of all areas of his or her field and a comprehensive knowledge of one in particular. A student who wishes to qualify for the degree is expected to meet the requirements of both the Graduate School of Arts and Science and the department or program which administers the degree.

The Graduate School of Arts and Science requires that the student pass a qualifying examination and present and defend a dissertation. Formal requirements include the completion of at least three academic years of full-time study beyond the bachelor's degree (or its equivalent), at least one year of which shall be in residence at New York University, and satisfactory completion of graduate studies totalling at least 72 points, at least 32 of which must be in residence at New York University. Proficiency in at least one foreign language is also required.

Other requirements vary from department to department. Further information on degree requirements may be found preceding the course descriptions of each department.

When a student's dissertation is completed and has been approved by his or her adviser and two additional readers, an oral examination is required at which the candidate presents and defends the results of his or her research before a committee of five of the faculty including the dissertation readers. Approval, of the dissertation and its defense, by the committee completes the qualifications for the degree.

A doctoral candidate must complete all requirements for the degree not later than ten years from matriculation, or seven years from return to graduate study if the candidate holds the master's degree. A petition for waiver of the time limit, if recommended by the department, will be considered by the Dean of the Graduate School of Arts and Science if the candidate has completed all course and language requirements and satisfies any other conditions which the department may define.

Instructions for preparing dissertations for microfilming are available in the Recording Office. If the dissertation is accepted, the original of the dissertation, approved by the adviser on the title page and ready for binding, must be delivered to the Recording Office, together with two copies of a 600-word abstract of the dissertation, approved by the adviser for microfilming and reproduction in *Dissertation Abstracts*, two copies of the University Microfilms Agreement form, two copies of the title page, permission from copyright holders (if necessary) for use of quoted material, and two copies of a written authorization to the University to microfilm the dissertation. After the dissertation has been accepted, it will be permanently bound and remain in the Bobst Library for circulation.

Doctor's Dissertation

A dissertation title card and a preliminary outline of the dissertation are kept on file in the candidate's department.

Estimate of Expenses

(For Students Taking Four Three-Point or Three Four-Point Courses per Term, based on 1975-1976 figures)

	For Students not Living at Home	For Students Living at Home
Tuition per year (includes a non-returnable registration and services fee)	\$2,592.00	\$2,592.00
Living expenses (room, board, books and supplies, clothing, laundry, and other incidentals)	3,300.00	1,900.00
Total	<u>\$5,892.00</u>	<u>\$4,492.00</u>

Financial Aid

Financial aid from a variety of University, government, and private sources is available. Scholarships generally provide only tuition; fellowships offer stipends without duties; assistantships, stipends with duties. Loans are repayable after graduation or withdrawal.

Applicants for financial aid are considered for all types of aid for which they are eligible. Awards are based on both merit and need. They are usually for the academic year only, but in many cases may be renewed on recommendation of the department. However, application must be made each year for consideration of renewal of the award. Recipients of financial aid are required to register as degree candidates and, in certain cases, to maintain full-time status.

They may not accept employment or engage in any other occupation without permission of the department and the Dean. Most loan programs are open to part-time students.

New students should use the combined admission and financial aid application form and should apply directly to: Office of Admissions, Graduate School of Arts and Science, New York University, 6 Washington Square North, Room 22, New York, N.Y. 10003. Currently matriculated students should use the financial aid application form available from the Fellowship Office, Graduate School of Arts and Science, 6 Washington Square North, Room 33. The deadline for submission of application forms and all supporting documents for new students is January 14; for continuing students it is January 1.

Students interested in government, foundation, private, or overseas awards should inquire at the Fellowship Office (6 Washington Square North, Room 33, New York, N.Y. 10003) by early fall. For advisement concerning all forms of financial aid, consult the Fellowship Office.

Financial aid not limited to a single department or program is described below. Further information, including details of awards

G85.3327-3328 Special Topics in Solid-State Physics

Prerequisite: G85.2285-2286 or the equivalent. 3 points per term.

G85.3329-3330 Special Topics in Astrophysics

Prerequisite: G85.2217-2218 or the equivalent. 3 points per term.

G85.3331-3332 Special Topics in Nuclear Structure

Prerequisite: G85.2295-2296 or the equivalent. 3 points per term.

G85.3333-3334 Special Topics in Elementary Particle Physics

Prerequisite: permission of the instructor. 3 points per term.

Politics

Administration

Chairman of the Department: Professor Richard S. Randall, 601 Rufus D. Smith Hall

Director of Graduate Studies: Associate Professor Martin A. Schain, 801 Rufus D. Smith Hall (598-3275)

Faculty

Professors: Rita W. Cooley, James T. Crown, Robert F. Cushman, Alfred de Grazia, Gisbert H. Flanz, James C. Hsiung, Louis W. Koenig, Joel Larus, Richard S. Randall, Ellsworth Raymond, H. Mark Roelofs, Kalman H. Silvert, Ralph A. Straetz, Richard N. Swift, I. William Zartman

Professors Emeriti: Thomas R. Adam, Morley Ayeart, Edward C. Smith, Rinehart J. Swenson

Associate Professors: Robert E. Berger, Steven J. Brams, William G. Fleming, Bertell Ollman, Francisco José Moreno, Harold Savitch, Martin Schain

Associate Professor Emeritus: Theodore H. Skinner

Assistant Professors: David B. H. Denoon, Farhad Kazemi, Christopher Mitchell

Fields of Study: Offerings in the Department are divided into four fields: political theory (normative and/or empirical), American political institutions and processes, comparative politics, and international relations. The Department also encourages Ph.D. candidates to substitute one inter- or extradepartmental field.

Special Requirements of the Department

Master of Arts

Admission: Although the Department prefers to admit students with undergraduate concentrations in economics, history, political science, or sociology, it will consider applicants with distinguished records in any field who are willing to take specified courses.

Program of Study: The Department requires students to take 32 points of course work chosen from at least two of the departmental fields of study (above) and recommends no more than 20 points in any one field. All programs must include at least one of the following "core" courses: G53-1100, History of Political and Social Thought; G53.1300, American Political Institutions and Processes; G53-1500, Comparative Political Analysis; or G53.1700, International Politics: Concepts and Theories. If the student wishes to continue for the doctorate, G53.2000, Approaches to Political Inquiry, is recommended. No thesis is required, but one term paper is designated the student's major project. The Department expects students to maintain a point average of 3.0 (B) during their candidacy.

Foreign Language Requirement: Master's students must show proficiency in one foreign language. For details, see Communications Requirements, page 284.

Area Studies: The Department cooperates in Area Studies Programs offered by the Graduate School of Arts and Science (e.g., in Ibero-American, Near Eastern studies, etc.).

Cluster Programs: The Department offers A.M. candidates the opportunity to engage in the interdisciplinary study of political cultures and systems and to receive a Certificate attesting

to their competence in one of the four areas: American Political and Social Ideas; Caribbean Developmental Studies; Far East in the Modern World; and United Nations and International Studies. Each student is asked to complete eight courses in one of the clusters (including a field core course or its equivalent) and to write a thesis.

Half of the courses (four) may be taken outside the Department of Politics. On successful completion of the course work, the thesis, and a thesis examination, students receive both the A.M. degree and a Certificate. The program is intended particularly for teachers, international affairs enthusiasts, and informed citizens.

Further details are available from the Director of Graduate Studies, the Cluster Program Coordinator, or the Directors of the several programs.

Special Joint A.M. Programs: Joint A.M. programs, offered through the Department in conjunction with other departments or schools (such as the Graduate School of Public Administration, the School of Law, Graduate School of Business Administration, etc.), are still in the experimental stage. For more information, call or write to the Director of Graduate Studies.

Cluster and joint Master of Arts degrees are assumed to be "terminal." A student who wishes to apply for admission to the Department's Ph.D. program, upon completion of either a cluster or joint master's degree, must have a 3.5 or better average and, when admitted, must take at least two more political courses (8 points) in addition to the 40 points required.

Award of Degrees: Degrees are awarded only in February, June, and October.

Doctor of Philosophy

Enrollment: The Department will permit promising full-time students to enroll in the Ph.D. program at the time of admission to the Graduate School.

Matriculation: In order for a student to matriculate in the Ph.D. program, the student must have an A.M. degree

or its equivalent and must have passed the A.M. language requirement and a one-hour oral matriculation examination by three professors appointed by the Chairman. The examination is based on two submitted papers and is designed to test capacity for analysis, imaginative handling of general problems of the discipline, and potential as a political scientist. Normally the examination can be taken only once. The papers may be two term papers or a term paper and a thesis, in original or revised form.

The three faculty members, one of whom is designated as the student's adviser, form the student's mentorship commission, which meets regularly with the student through course work and becomes the dissertation commission thereafter. Members may be replaced as the student's interests change.

Graduate students enrolled at New York University should apply to matriculate after 32 hours of course work, using forms available in the department office, on the basis of their course work, their adviser's recommendation, the two submitted papers, and the matriculation examination. Students applying for the Ph.D. program after receiving their A.M. degree from an institution other than New York University should submit their papers (and thesis) with their admissions application and recommendations (papers of unsuccessful applicants will be returned). Once admitted, they should apply to matriculate before they complete their first twelve points of graduate work beyond the A.M. at New York University using forms available in the department office, since admission is conditional on the results of the examination. Matriculants in the Ph.D. program who hold an A.M. degree in political science from another university may be required to take additional courses to meet departmental requirements or to provide a comprehensive education in political science. Transfer credit for work taken beyond the A.M. is limited to 8 points. Credit is granted only after the student has completed 12 points of work acceptable to the Department.

Students not permitted to matriculate in the Ph.D. program may take

Permission given in no way implies that the Department will at any time allow such students to matriculate in the doctoral program, whether originally enrolled in the doctoral program or not. Such students continue in courses without earning degree points.

Each semester the departmental Committee on Progress reviews the records of students enrolled in the doctoral program and may request students who fail to maintain a point average of 3.4, on the basis of a 4.0 scale to withdraw from the program.

Program of Study: The student meets with the faculty adviser before registering each semester, and must have all regular and exceptional aspects of the program approved by the adviser. Students are required to qualify in each of four fields, either in the four departmental fields (normative or empirical theory, American political institutions and processes, comparative politics, and international relations) or three departmental fields and one inter- or extradepartmental field. Students seeking approval for an inter- or extradepartmental field should write to the Director of Graduate Studies, setting out a proposed program of study and showing its relation to their Ph.D. program. They may qualify in one of the four fields by obtaining a grade of B or better in the required 8 points of course work (including the core course) in that field. They qualify in the other three through the comprehensive examination procedure described below. They must then write a dissertation and defend it at a final oral examination.

All candidates for the Ph.D. must complete 72 points of course work before taking the comprehensive examination. However, students in transit from the 3-point to the 4-point program may complete their program with as few as 70 points.

Required Course Work: Students are required to take G53.2000, Approaches to Political Inquiry, at least 8 points of course work (including the core course) in each of the four fields, and the Dissertation Seminar (G53.3990). Eight points of work outside the Department may be taken by student who have not taken courses in other disciplines previously. They may qualify in one of the four fields

8 points of course work (including the core course) in the field, and in G53.2000.

Core Courses: Besides G53.2000, the core courses are G53.1100, History of Political and Social Thought; G53.1300, American Political Institutions and Processes; G53.1500, Comparative Political Analysis; and G53.1700, International Politics: Concepts and Theories. All are required of Ph.D. candidates, although the Department may excuse students from one or more of these courses if they can show that they have done equivalent work elsewhere.

Comprehensive Examination: After satisfactorily completing a minimum of 18 courses (including the required courses), a potential candidate must apply to take the examination given each year on dates determined by the Department. Students should check with the Department for application deadlines.

Candidates for the Ph.D. have a choice of two options with respect to the evaluation of their qualifications to proceed toward the writing of their doctoral dissertation.

Under Option I (written examination), all questions will be distributed in advance. The candidates will then write the examinations at home within 16 days, choosing 2 of 7 questions in their minor fields and 4 of 7 in their major.

Oral examinations will be given to all candidates who complete the written examinations, whether they have passed or failed. Advisers will inform students before the oral how the Evaluations Committee evaluated their performance on the written part of the examination. Oral questions will be based on the written examination but may also go beyond it into broader questions and concepts of the fields.

Under Option II, students may present to the appropriate faculty evaluation committee three papers written in English of publishable type and quality, synthesizing in nature, in three fields, one of which must be in the student's major field. The papers, although submitted specially for the examination, may relate to previous course or seminar work. Oral ques-

will also go beyond them into broader questions and concepts of the fields.

Students who fail any of the examinations may have a second chance to take one or more of them again. But only in unusual circumstances will a third and final chance be granted by the Evaluations Committee. Only after a student has passed the comprehensive examination and met the communications requirement (see below) can the student be admitted to candidacy for the Ph.D. degree and prepare a dissertation prospectus.

Dissertation: Students may seek official approval of their dissertation plans after completing all other requirements including the second language requirement (see below).

To obtain approval of dissertation plans, a student submits a prospectus for a dissertation (one original and three copies) to a member of the faculty who agrees to supervise it, and then to a dissertation commission of three professors for approval. The commission, including the adviser, is the same as the student's mentorial commission revised as necessary by the Chairman of the Department in consultation with the student, to meet the student's needs and interests. This commission will be available to consult and work with the student while the dissertation is being written and to meet with the student at least once a year. Students who hope to receive their degrees at a particular commencement must observe the deadlines specified in the Graduate School calendar and other such deadlines set by the commission.

Final Oral Examination: After the adviser has approved the dissertation and the commission has agreed that it is ready for defense, the Department schedules a final oral defense before a panel of five faculty members (including the commission) and any other interested scholars.

Communications Requirement: A.M. students must show proficiency in one language besides English before their degree is awarded; Ph.D. students must show such proficiency in a second language or in statistics (or successful completion of G53.2102, Introduction to Formal Political Theory

and G53.2103, Problems in Formal Political Theory) before a dissertation outline can be approved and a dissertation committee appointed. In lieu of the standard languages of Chinese, French, German, classical Greek, Hebrew, Italian, Japanese, Latin, Portuguese, Russian, and Spanish, another language may be substituted with the authorization of the Language Coordinator on the recommendation of the student's adviser and the Chairman of the Department. Proficiency is to be demonstrated (a) by obtaining a grade of B or better in an approved graduate or college intermediate level course in that language or mathematics/statistics skill within two years before the student's admission to the Graduate School or during the student's graduate degree program; (b) by passing the standard E.T.S. examination in French, German, Russian, or Spanish (for which the student should apply to the Graduate School Recording Office, or by passing an examination in other languages set by the Graduate School (for which the student should apply to the Graduate School), or if no E.T.S. or Graduate School examination is available, by passing an examination set by the Department.

Courses taken to show communications proficiency under option (a) will carry no credit toward a graduate degree. When a substantive course with communications content is taken for credit, communications proficiency much be shown through option (b). In lieu of a second language, intensive oral and written skill in one language (other than the candidate's native language) may be shown by a Ph.D. candidate preparing for field research in that language.

Departmental Information

Colloquium: The Department holds a regular colloquium where faculty members report to the Department on their research. The colloquium is open to all graduate students and faculty members.

Consortium: The Department is a member of the Inter-University Consortium for Political Research at Ann Arbor, Michigan, and its students are eligible to participate in the Consortium's summer programs and to use its data.